This is a 2-4 hour boating safety seminar, written by Dr. L. Daniel Maxim, ANACO-RB, and John VanOs dol, DIR-Ed, to assist boat occupants who are not normally at the helm, including guests, spouses, children, or others aboard who may find themselves “suddenly in command” if the operator becomes ill, gets injured, falls overboard, or has to attend to some other emergency and is unable to be at the helm. We also owe a debt of thanks to many Auxiliarists who offered helpful comments on the course.

The purpose of this short course is to familiarize the student with essential safety information so they can assess and stabilize the situation, operate the vessel, and summon any required assistance.

While this slide remains on the screen, instructors should introduce themselves and ask the students to do the same. Ask also if any of the students has had the experience of being suddenly in command and to share any relevant stories.

Disclaimer: The appearance of any product or service advertisement on the site to which any link in the notes for this presentation is directed does not constitute, and shall not be construed as, an endorsement of that product or service by the United States Coast Guard or Coast Guard Auxiliary.
Explain briefly the contents of each of these major blocks. Note that this is a classroom, not an on-water course. That is, the course covers the things you should know, but does not give you actual underway experience.
INTRODUCTION
The overall fatality rate for recreational boats for the year 2011 is 25.5 per 100 million exposure hours. Exposure hours data are derived from the National Recreational Boating Survey. Fatality data are derived from the Boating Accident Report Database (BARD). This is an overall fatality rate—it is higher for some boat types (e.g., paddlecraft) and lower for others (e.g., pontoon boats). We don’t have accurate data on the frequency of boat skipper incapacitation (but see below), but there are published accident reports for both boats and aviation (see e.g., http://avherald.com/h?article=45d14284, http://www.avweb.com/news/safety/183023-1.html, http://www.dailymail.co.uk/news/article-2235746/Passenger-steps-land-747-pilot-falls-ill-happens-duty-pilot.html, http://www.maib.gov.uk/cms_resources.cfm?file=//LionReport.pdf, and http://www.americanboating.org/suddenlyincommand.asp) that indicate that this situation does occur—sometimes with disastrous consequences. Moreover, the fact that the skipper is incapacitated should not mean that others should be at risk. For the year 2011 ‘sudden medical condition’ was listed as a ‘primary contributing factor’ in 0.7% of the accidents, 4.3% of fatalities, and 0.17% of the injuries. As a point of interest, concern over skipper incapacitation is one of the reasons why there are two qualified pilots on board commercial aircraft. There are some data on US airline pilot incapacitation, see http://www.skybrary.aero/index.php/In-flight_Pilot_Incapacitation_(OGHFA_SE) and http://www.skybrary.aero/index.php/Crew_Incapacitation. Image from US Coast Guard at http://www.uscgboating.org/assets/gallery/image/original/03_05_1_1B_0035.JPG.
You might find yourself in command if

- Your skipper falls overboard
- Your skipper becomes physically incapacitated (e.g., injury, seasickness or other medical condition)
- Your skipper needs to attend to some pressing emergency
- What now? Would you know what to do?

These are the principal circumstances that might require non-operators to assume command. Here are excerpts from four cases in the boating accident report database:

**WI-2012-0097**: After drinking heavily throughout the day, the boat operator-victim was sitting on the stern, and operating his boat at slow no wake speed, when he fell overboard. One passenger jumped into the lake to help while the remaining two passengers remained aboard. One passenger turned the engine off shortly after the operator fell overboard. No one aboard knew how to start the motor. They used paddles to move the boat back to location of second subject who had been searching for the victim. After retrieving second subject, the passengers paddled the boat to a nearby dock. The operator drowned.

**2009-CO-0020**: Vessel was running on a trolling motor when victim jumped overboard to retrieve a lost fishing rod without a pfd. Passenger did not know how to operate vessel back to victim. Victim had drowned before anyone could respond to his location.

**OH-2010-0096**: The operator of the vessel with two additional persons on board was forced to use its auxiliary engine due to choppy waters near Port Clinton. The line from the jib sail then accidentally wrapped around the prop. The operator entered the water to attempt to cut the line, however the vessel drifted away from him in the rough water. The occupants still aboard the vessel did not know how to operate it and were unable to assist the operator. The operator/victim went under shortly thereafter and did not resurface. A search for the Victim was unsuccessful. The Victim's body was recovered on July 26, 2010.

**2007-MT-0016**: Operator and 2 passengers in vessel were cruising downstream on the Flathead River when they encountered a wake left from another boat traveling in the opposite direction approx. 30-60 seconds prior. When they hit the wake, it caused the boat to bounce and turn in a sharp circle to the right. The operator and adult female passenger were ejected from the boat leaving an 11 year old female passenger in the boat while it drove around in circles. The operator swam to the unconscious female and attempted to revive her. He yelled at the 11 year old passenger to shut the boat down, which she did not know how to do, but she finally got the boat stopped. With the help of another boat that came by several minutes later, they were able to pick up the two people in the water and return to shore. Upon being ejected, the adult female passenger was struck by the prop causing severe lacerations and fractures to the arm and skull.
The complete Marine Accident Investigation Branch (MAIB) [the UK equivalent of the US NTSB] report on this case is available from http://www.maib.gov.uk/cms_resources.cfm?file=/LionReport.pdf. This case is relevant because it underscores the need for the skipper to nominate a “relief skipper” in the event that he/she is incapacitated. In this case valuable time was lost after the skipper fell overboard because no one knew who was in charge. This should be a normal part the pre-underway briefing.
A news article on this case is available at http://juneauempire.com/stories/082701/Loc_skipper.shtml. In this case the crew lost situational awareness because they were preoccupied with trying to assist the skipper. This preoccupation meant that the crew lost situational awareness; no one paid attention to the fact that the ship was in danger of running aground. In the end, the vessel was able to be refloated without damage, but had the coast been rocky the vessel (and other lives) might have been lost as well.
These are illustrative questions to ask of the students. This is not an exhaustive list of questions; it is intended to stimulate thought and discussion. The photo source is the US Coast Guard (http://www.uscgboating.org/assets/gallery/image/original/03_05_1_2A_0099.JPG).
This continues the first list of questions. It also identifies the topics to be covered in the class.
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Here is a web site address to get a USCG float plan; 
http://www.floatplancentral.org/download/USCGFloatPlan.pdf. Time permitting, go over the contents of the float plan with the class.
Aviators use this phrase to help prioritize what needs to be done in any emergency—including a permanent or temporary loss of the skipper. It applies equally to boats as to airplanes.

- The word ‘aviate’ reminds us that we need to keep the boat under control. Depending upon the circumstances, the best option for a boat would be to throttle down and take it out of gear (not an option for aircraft) and use the time to figure out what to do next.
- The word ‘navigate’ reminds us that we need to know where we are and how to get to a harbor safely. At a minimum, knowledge of your position enables you to get help efficiently. It is difficult to summon help if you don’t know where you are.
- The word ‘communicate’ means that, depending upon the situation, you may need to summon help. Therefore, you need to know how to do this using whatever means are available such as a marine radio or a cell phone.

Other web sites that address the ANC sequence include:
This short video was prepared by, and used with the permission of the Canadian Safe Boating Council (CSBC). It provides a nice illustration of some of the key things you need to know in the event the skipper becomes incapacitated. Channel 16 is the international calling and distress frequency for marine VHF radio. However, *16 does not work in the United States. The US had a similar service at one time ‘*CG,’ but has discontinued this service in all states but Alaska. (The *CG feature was introduced by some cellular communications companies in the early 1990’s, but never developed into a nationwide service. As wireless providers moved to digital systems, some didn't migrate *CG to the new system and others even lost track of whether or not they were continuing the feature. This patchwork of service is confusing for the mariners who choose to use it, and may, in fact, prevent them from making a timely call for assistance should they find themselves in an area where *CG is not available.) ‘*16’ is still in use in Canada (see http://www.ccg-gcc.gc.ca/eng/CCG/SAR_Adr or http://www.ccg-gcc.gc.ca/eng/MCTS/Cell). In waters covered by the Coast Guard the marine radio is the preferred method for distress calls. You can use ‘911’ on your cell phone to summon assistance, but most 911 operators are not trained to handle marine emergencies.
Preparation/prevention is the major theme of this seminar.
It’s normal for people to feel anxious when an emergency occurs, such as incapacitation of the skipper. People are also vulnerable to panic (a sudden sensation, which is strong enough to dominate or present reason and logical thinking), which can be infectious. Merely telling people to ‘stay calm’ is probably not all that helpful, although it can’t hurt. It’s more useful advice to prepare for emergencies with training, drills, and emergency checklists. Checklists not only help you to remember tasks that you might otherwise forget, but also help to reduce anxiety, because they routinize otherwise exceptional events. As noted by physician Atul Gawande, author of a book The Checklist Manifesto, a checklist helps reduce panic in unfamiliar situations and allows everyone involved to know exactly what is expected of them.

As noted by the FAA in a document discussing checklist design, “It [the checklist] is an important aid in helping the crew to remain focused to the task at hand by eliminating guesswork that often accompanies periods when crew attention is divided especially during periods of stress or fatigue. The checklist is an important and necessary backup for the pilot and crew.” See http://www.faa.gov/about/office_org/headquarters_offices/avs/offices/afs/afs200/branches/afs210/training_aids/media/checklist.doc.
There are several sources for checklists. Here is a sample: [http://www.sdfs.net/pdf/EmergProc-A_Boat%20Operator.pdf](http://www.sdfs.net/pdf/EmergProc-A_Boat%20Operator.pdf). Suggested checklists are included in the documentation for this course and can be reviewed in class, time permitting.
Training others to cope with SIC situations is not only good practice, but can add enjoyment to the voyage. Every trip has some ‘dead time’ or free time that can be used for short training sessions. Training sessions add to everyone’s interest and also instill confidence. The training should include use of checklists as well as ‘hand’s on’ training in boat handling. Useful tasks to cover include stopping, starting, maneuvering, determining your position, and use of the radio. Find a ‘practice area’ free of traffic and navigational hazards for these sessions. Though ‘perfection’ might be nice, the aim is to teach relief skippers to reach a level of competence in maneuvers sufficient to ensure safety. Relief skippers do not need perfection in docking, for example, as long as they can approach the dock sufficiently slowly to avoid significant impact—those on shore can provide assistance in securing the vessel. This said, you might be pleasantly surprised to find that your ‘first mate’ wishes to learn more and become really proficient.
There are different throttle and gearshift combinations. These can be covered in the on-the-water training sessions specific to each boat. This class is aimed at those in powerboats. Instructors who are sailors should supplement the material in this course if they are teaching fellow sailors.
This photo was taken by Joseph Giannattasio Public Affairs Specialist III (PA III) District 5NR. The radio in this picture is set to channel 21, but normally the public would communicate with the Coast Guard on Channel 22 A after initial contact on Channel 16.
The pre-underway checklist should include these items. It is helpful if this checklist identifies the locations where these items are kept. The skipper should go over the pre-underway checklist with the passengers, so they are well briefed.
The Auxiliary provides instruction to its members on basic first aid and some Auxiliarists are medically trained. However, we are not trained to give instruction to the public on treatment for medical emergencies. Just suggest that the students have a basic first aid kit available on their boats and take first aid and CPR courses from authorized providers.
This is ultimately the skipper’s responsibility. Nonetheless, this might be included on a checklist.
Drills

• In addition to training sessions, it is useful to have drills on emergencies of various types—for skipper and crew alike
• What drills would you suggest?

Use this as an open-ended question. We have a suggested list following.
We do not have data on the total number of man overboard cases because (fortunately) not all man overboard situations lead to reportable accidents, fatalities or injuries. In 2012, falls overboard accounted for 197 out of 651 (30.3%) of reported fatalities and 157 out of 3000 (5.2%) of all injuries among reportable recreational boating accidents. Knowing how to recover a man overboard is potentially very important. Knowing how to call for help, using a radio, cell phone, or with distress signals is also obviously important. Perhaps surprisingly, fires are not a major cause of accidents, fatalities, or injuries in recreational boat accidents, accounting for (2012 data) 5.8% of accidents, 0.76% of fatalities, and 3.3% of injuries. Nonetheless, everyone aboard should know where the fire extinguishers are and how to use them. Collisions and allisions accounted for 1,706 (37.8%) out of 4,515 accidents, 112 (17.2%) out of 651 fatalities, and 1,145 (38.2%) out of 3,000 total injuries. Groundings accounted for 422 (9.3%) out of 4,515 accidents, 10 (1.54%) out of 651 fatalities, and 244 (8.1%) out of 3,000 total reported injuries. Responding to a collision, allision, or grounding is likely to be an ‘all hands’ evolution, so it is wise to include drills on these mishaps.
Here is some information from the Coast Guard (http://www.uscgboating.org/safety/boating_under_the_influence_initiatives.aspx):

Alcohol has many physical effects that directly threaten safety and well-being on the water. When a boater or passenger drinks, the following occur:

- Cognitive abilities and judgment deteriorate, making it harder to process information, assess situations, and make good choices.
- Physical performance is impaired - evidenced by balance problems, lack of coordination, and increased reaction time.
- Vision is affected, including decreased peripheral vision, reduced depth perception, decreased night vision, poor focus, and difficulty in distinguishing colors (particularly red and green).
- Inner ear disturbances can make it impossible for a person who falls into the water to distinguish up from down.
- Alcohol creates a physical sensation of warmth - which may prevent a person in cold water from getting out before hypothermia sets in.

As a result of these factors, a boat operator with a blood alcohol concentration above .10 percent is estimated to be more than 10 times as likely to die in a boating accident than an operator with zero blood alcohol concentration. Passengers are also at greatly increased risk for injury and death - especially if they are also using alcohol. For more detail see http://jama.jamanetwork.com/article.aspx?articleid=194480. See also http://alcoholism.about.com/cs/alerts/l/blnaa25.htm and http://www.faa.gov/pilots/safety/pilotsafetybrochures/media/alcohol.pdf.
Alcohol and boating

- Drinking no alcohol is the safest way to enjoy the water; intoxicated passengers are also at risk of injury and falls overboard
- Alcohol related accidents are likely to result in civil litigation and possibly criminal penalties
RESPONSE
Here is a sequence of steps necessary for effective response. Each is discussed following. But briefly,
Detect: Detect the problem (incapacitated skipper, skipper overboard, etc.).
Evaluate: Evaluate the problem in terms of criticality and urgency.
Prioritize: Prioritize necessary response actions.
Stabilize: Stabilize the situation, e.g., take the engine out of gear, stop the boat, put people in life jackets, etc.
Take action: Follow the emergency checklist(s) for the indicated problem (e.g., man overboard).
Seek help? Decide whether additional help (e.g., medevac, rescue) is necessary and communicate with others (e.g., the Coast Guard).
Monitor the situation: Are the actions taken sufficient to bring things to a safe conclusion? If not, what further steps are necessary?
Reassess? Be prepared to go through steps again if circumstances change.
These are all basic questions. Obviously, critical and urgent problems (man overboard, medical emergency, taking on water, vessel on fire) demand immediate attention. Critical and urgent problems may also require external assistance. The question “who should do it” also requires some thought. Trained and able-bodied persons are ideal, but these are not always available.
These actions are important, yet are often overlooked. For example, many engine problems (e.g., running out of fuel) are not life threatening. But, if you are in an area where the vessel could drift aground or into a channel used by large ships, it’s important to stabilize the situation by deploying an anchor (if circumstances permit).
This is an important decision. Even if you can solve the problem on your own, help is often welcome. But getting help is more than simply wanting help. You need to know the distress signals and have some means of attracting attention (e.g., radio, cell phone, flares). There is a temptation for operators of small boats not to carry such things as radios. Though not legally required, radios can be a great aid—and handheld radios are reliable and inexpensive safety devices.
Nav Rules available at
http://www.navcen.uscg.gov/?pageName=navRulesContent#37.
Options for getting help

- In practical terms, the options narrow to radio, cell phones, waving arms, and flares
- Each has advantages and limitations
Remind the attendees that VHF-FM is line of sight. It is a party line, which has advantages because other boaters may be nearer to you than either the Coast Guard or other government agencies. Time permitting, ask some of the attendees to simulate giving a distress call. Although the use of preferred phraseology is desirable, mention that what is important is that content is what is important. The right help will be there sooner if they know the nature of distress, location, and other things noted above.
Time permitting, you can go over this and have the students simulate giving a Mayday message.

How to make a distress call

- “Mayday, Mayday, Mayday” on channel 16
- State “This is” Name of boat (repeat 3 times)
- Give description and location of boat
- Nature of the problem, number of persons on board (POB), local weather and
- Any medical conditions
This video is used with the kind permission of the Florida State College at Jacksonville, FL.
Cell phones

- Potentially valuable tool, particularly in areas without CG presence
- Not recommended as primary means to call for help
  - Only the person you call hears you
  - 911 operators may have limited experience with marine emergencies
  - Helpful as backup

Cell phones may be useful, particularly in areas not normally served by the Coast Guard. Calling ‘911’ is one option, but many 911 operators have limited experience in dealing with marine emergencies. The Coast Guard Fifth District offered the following (http://midatlantic.coastguard.dodlive.mil/2012/12/on-the-water-and-in-distress-use-your-vhf-not-your-cell): Cellular devices seem capable of doing almost anything thanks to the advent of smartphones and downloadable applications. This versatility and cell phones’ portability are leading many recreational boaters to have too much faith in them as the sole means of communication on the water, especially in emergency situations. “Cell phones may have gaps in coverage, especially in coastal waters,” leading to dropped calls and bad reception, explains Dennis Sens, the Fifth Coast Guard District’s Boating Safety Program Specialist. Though some phones are equipped with GPS transmitters, that capability may be misleading when it comes to locating a vessel in distress, says Sens. “Even if cell phones have a GPS transmitter, tracking down a cell phone signal is a very involved process. We don’t have that capability in our command centers, and that information is not easily obtainable from the cell phone companies—if they do have it—because of privacy concerns. All this research takes time, and during this process, things are happening on the water.” Even though VHF-FM radios are not required by law to be carried on board a boat, the CG recommends all recreational boaters, even in the smallest vessels, not leave the dock without VHF-FM and use it at the first sign of distress. Calling 911 with a cell phone should not be ruled out in case of an emergency, but using a VHF-FM for distress calls is a surer way to get the help you need, faster.
The first point notes that using ‘one shot’ devices is not likely to be useful if you cannot readily see help. Otherwise it is a waste of the flare. Hand held flares (often carried by recreational boaters) can be used day and night, but tests by Boat US indicated that these were not great at capturing attention (see [http://www.boatus.com/boattech/articles/pyrotechnics.asp](http://www.boatus.com/boattech/articles/pyrotechnics.asp)). When using these make sure that you hold them at an angle from you and away from the boat because these generate hot slag. These flares have an expiration date. BoatUS found that some expired hand-held flares were very hard to ignite or didn't seem to burn as brightly as they should, and meteor flares that didn't fly as high or burn as long as advertised claims. However, both BoatUS and the Coast Guard believes that expired pyrotechnic devices are good for backup.
SOME EVOLUTIONS YOU SHOULD PREPARE FOR
There is a great deal of published information on this procedure for both sail and powerboats (see e.g., http://www.boatingsafety.com/nzcg/recovery.html, http://www.rorc.org/general-conditions/man-overboard.html, http://www.uscg.mil/d13/cfvs/docs/References/RiskMgmtManOvdb409.pdf, http://live.cgaux.org/?p=523, or http://www.thefishingline.com/man.htm). It’s much easier in a powerboat than a sailboat, but possible with either. The likelihood of success/survival depends upon many factors, but time is critical. This is something that should be practiced. If possible, find someone who has a weighted dummy, rather than just a life preserver to simulate the person overboard. This increases the realism of the simulation and makes it clear just how difficult it is to recover someone (particularly if the person is not conscious) over the stern of the boat. Consult the Auxiliary Boat Crew Manual for more information (http://www.uscg.mil/directives/cim/16000-16999/cim_16114_5c.pdf).
Auxiliary crews practice MOB evolutions routinely. These photos were taken by Joseph Giannattasio Public Affairs Specialist III (PA III) District 5NR. Auxiliarists in this crew included: Richard Weiss (Coxn), Irene Mead, Donald Dobson, John Tredinnick (all members of 053-08-02). [OPFAC 281306]
Location: Back-Bays and Channels of Avalon, NJ.
Date: All photos taken 06 JUL 2013.
Mention that the function of the MOB button on the GPS or chart plotter is to enable the boat to return to the location where the person entered the water.
Throw a life ring or anything that floats

Retrieve person over side or through stern
Running aground

• If in tidal waters, see if you can determine the state of the tide (call the Coast Guard if necessary)
• Removing weight from the boat may help (if you have a dingy to put it in)
• Consider getting commercial assistance (neither the Auxiliary nor the Coast Guard will tow off a grounded vessel in most cases)
PORT ARANSAS, Texas - The recreational boat Barracuda sits hard aground on the Port Aransas south jetty, Aug. 25, 2012. The captain of the grounded boat contacted Sector Corpus Christi with his VHF radio at 4:55 a.m., stating his 38-foot recreational boat, the Barracuda, was aground on the Port Aransas south jetty with six people aboard. Source; [http://cgvi.uscg.mil/media/main.php?g2_itemId=1745498](http://cgvi.uscg.mil/media/main.php?g2_itemId=1745498). This looks to be hard aground, running on the rocks could mean hull damage. Damage to props and shafts is also likely.
Some collisions are no more serious than ‘fender benders.’ But more serious collisions sometimes present difficult choices. If you’re trying to administer first aid for one of the passengers, you still need to pay attention to other problems, such as water rising in the bilge. If someone else is qualified, you can tell them to take the controls… or tell them to get and operate a spare bilge pump or bucket and start bailing. If a passenger’s injuries are not life threatening, you should focus your immediate attention on moving your vessel out of the way of a large oncoming merchant ship, etc.

It may not be your first priority, but you should get pictures of the accident if possible. These will help accident investigators and may prove useful for insurance purposes and/or possible litigation.
Keokuk, Iowa - Auxiliary Facility assists Missouri Water Patrol and local fire department with radio communications and controlling the area around a burning 47 foot vessel. Photo source [http://cgvi.uscg.mil/media/main.php?g2_itemId=846583](http://cgvi.uscg.mil/media/main.php?g2_itemId=846583).

As noted earlier: Perhaps surprisingly, fires are not a major cause of accidents, fatalities, or injuries in recreational boat accidents, accounting for (2012 data) 5.8% of accidents, 0.76% of fatalities, and 3.3% of injuries. Nonetheless, everyone aboard should know where the fire extinguishers are and how to use them. Fire may be infrequent, but it is serious when it does occur. You can’t simply step out of the burning boat and walk home. Nonetheless, resources are available and fires can sometimes be extinguished—particularly if caught early. By the time it gets to the size shown in this picture it’s simply a matter of taking the occupants off the boat and keeping other vessels out of the way.
Fire

- If life raft or dingy is available put it over the side and inflate it
- If boat has a built-in CO₂ system, after fire is out, allow time for concentrations of CO₂ to ventilate to the atmosphere before entering
- Never enter a compartment after a fire until you are sure it has been properly ventilated
Fire

- Abandonment is last resort, but should be considered particularly if
  - Crew are becoming trapped by the flames
  - There is no longer the equipment to fight the fire
  - An explosion is likely (flames by the fuel tanks) or
  - Similar life threatening situations are apparent
This photograph illustrates a case where further fire fighting efforts are not likely to succeed. Staying on board would be foolish in this case.
This can be covered quickly. Each State has its own reporting requirements and the time frames for notification vary with the severity of the accident. Details are available at [http://www.usegboating.org/safety/accident_reporting.aspx](http://www.usegboating.org/safety/accident_reporting.aspx).
SUMMARY AND CLOSING COMMENTS
This is a useful slide to review the key steps in response. Ask the students to provide a brief discussion of each of the steps as a review.
The skills you learn in preparing for this possible emergency will also make you a better first mate or co-pilot.
Some people are reluctant to learn from their ‘significant other’ (SO) or other family members. And, just because someone knows how to operate a boat does not mean that they know how to teach others how to operate a boat. Fear that you may damage the boat may keep you from learning. A practical suggestion is to find someone else to teach you. There are numerous schools that will provide on the water training—an option you might consider.